

Annex 3

DECLARATION ON THE BENEFICIAL OWNER AND PEP STATUS FOR CLIENTS
(for legal persons and other business associations lacking the legal status of a legal person)

1. Account holder's data

Company name:
Registered office:
Company registry number:
Name of the organisation keeping the registration records:
VAT number:
Core activity:

2. Data of the representative(s)

Name of the representative:
Name of the representative:

3. Declaration

I/we, the undersigned, as the authorised signatory (authorised signatories) of the client indicated in this declaration as the Account Holder, define the beneficial owners of the client represented by me/us pursuant to Section 8 of Act LIII of 2017 on the Prevention and Combating of Money Laundering and Terrorist Financing, being aware of my (our) liability under the Criminal Code.¹

1.)

Surname and forename/surname and forename at birth:
Permanent address, or habitual residence:
.....
Citizenship:
Place and date of birth:
Nature of ownership interest (see Completion Guide, point 1):
Degree of ownership (%):
Are you a politically exposed person?: Yes / No
PEP status (see Completion Guide, point 2):

2.)

Surname and forename/surname and forename at birth:
Permanent address, or habitual residence:
.....
Citizenship:
Place and date of birth:
Nature of ownership interest (see Completion Guide, point 1):
Degree of ownership (%):
Are you a politically exposed person?: Yes / No
PEP status (see Completion Guide, point 2):

¹ The definitions of the term of the Beneficial Owner and the Politically Exposed Person are included in the Completion Guide.

3.)

Surname and forename/surname and forename at birth:
Permanent address, or habitual residence:
Citizenship:
Place and date of birth:
Nature of ownership interest (see Completion Guide, point 1):
Degree of ownership (%):
Are you a politically exposed person?: Yes / No
PEP status (see Completion Guide, point 2):

4.)

Surname and forename/surname and forename at birth:
Permanent address, or habitual residence:
Citizenship:
Place and date of birth:
Nature of ownership interest (see Completion Guide, point 1):
Degree of ownership (%):
Are you a politically exposed person?: Yes / No
PEP status (see Completion Guide, point 2):

4. Official signature

Being aware of my/our liability under the criminal law, I/we hereby declare that my/our declaration is true and accurate. I/we am/are aware that I am/we are obliged to report any change in the above data to KELER Ltd. within five business days and I/we will be deemed to be liable for any damage arising from the failure to fulfil this reporting obligation.

Place, date:,

.....
Client's (official) signature

To be completed by KELER Ltd.!

The declaration on the beneficial owner was made upon the personal presence of the person making the declaration.

.....
Administrator's legible name and signature

I checked the declaration on the beneficial owner in terms of its content and form.
Remarks:

Date:

.....
Administrator's legible name and signature

SUPPLEMENTARY DECLARATION ON THE BENEFICIAL OWNER AND PEP STATUS
(for Clients with at least five beneficial owners)

1. Account holder's data

Company name:
Registered office:
Company registry number:
Name of the organisation keeping the registration records:
VAT number:
Core activity:

2. Data of the representative(s)

Name of the representative:
Name of the representative:

3. Declaration

I/we, the undersigned, as the authorised signatory (authorised signatories) of the client indicated in this declaration as the Account Holder, indicate, supplementing my/our declaration on the beneficial owner made before KELER, the following persons as the beneficial owners of the client represented by me/us in addition to those included in the main declaration.

5.)

Surname and forename/surname and forename at birth:
Permanent address, or habitual residence:
Citizenship:
Place and date of birth:
Nature of ownership interest (see Completion Guide, point 1):
Degree of ownership (%):
Are you a politically exposed person?: Yes / No
PEP status (see Completion Guide, point 2):

6.)

Surname and forename/surname and forename at birth:
Permanent address, or habitual residence:
Citizenship:
Place and date of birth:
Nature of ownership interest (see Completion Guide, point 1):
Degree of ownership (%):
Are you a politically exposed person?: Yes / No
PEP status (see Completion Guide, point 2):

7.)

Surname and forename/surname and forename at birth:
Permanent address, or habitual residence:
Citizenship:
Place and date of birth:
Nature of ownership interest (see Completion Guide, point 1):
Degree of ownership (%):
Are you a politically exposed person?: Yes / No
PEP status (see Completion Guide, point 2):

8.)

Surname and forename/surname and forename at birth:
Permanent address, or habitual residence:
Citizenship:
Place and date of birth:
Nature of ownership interest (see Completion Guide, point 1):
Degree of ownership (%):
Are you a politically exposed person?: Yes / No
PEP status (see Completion Guide, point 2):

9.)

Surname and forename/surname and forename at birth:
Permanent address, or habitual residence:
Citizenship:
Place and date of birth:
Nature of ownership interest (see Completion Guide, point 1):
Degree of ownership (%):
Are you a politically exposed person?: Yes / No
PEP status (see Completion Guide, point 2):

10.)

Surname and forename/surname and forename at birth:
Permanent address, or habitual residence:
Citizenship:
Place and date of birth:
Nature of ownership interest (see Completion Guide, point 1):
Degree of ownership (%):
Are you a politically exposed person?: Yes / No
PEP status (see Completion Guide, point 2):

11.)

Surname and forename/surname and forename at birth:
Permanent address, or habitual residence:
Citizenship:
Place and date of birth:
Nature of ownership interest (see Completion Guide, point 1):
Degree of ownership (%):
Are you a politically exposed person?: Yes / No
PEP status (see Completion Guide, point 2):

12.)

Surname and forename/surname and forename at birth:
Permanent address, or habitual residence:
Citizenship:
Place and date of birth:
Nature of ownership interest (see Completion Guide, point 1):
Degree of ownership (%):
Are you a politically exposed person?: Yes / No
PEP status (see Completion Guide, point 2):

4. Official signature

Being aware of my/our liability under the criminal law, I/we hereby declare that my/our declaration is true and accurate. I/we am/are aware that I am/we are obliged to report any change in the above data to KELER Ltd. within five business days and I/we will be deemed to be liable for any damage arising from the failure to fulfil this reporting obligation.

Place, date:,

.....
Client's (official) signature

To be completed by KELER Ltd.!

The declaration on the beneficial owner was made upon the personal presence of the person making the declaration.

.....
Administrator's legible name and signature

I checked the declaration on the beneficial owner in terms of its content and form.
Remarks:

Date:

.....
Administrator's legible name and signature

Completion Guide (for the declaration on the beneficial owner and pep status)

1. Beneficial owner

Pursuant to Act LIII of 2017 on the Prevention and Combating of Money Laundering and Terrorist Financing, we request you to define the beneficial owners and the nature of their ownership interest in your declaration, applying the following definitions. Please indicate every natural person meeting the content of the table below in your declaration as beneficial owner.

If the number of owners is higher than four, a supplementary declaration on beneficial owners is required.

Please note that if the beneficial owners may not be clearly defined on the basis of the certificate of incorporation, other corporate documents and authentic records available in relation to the client and the companies having an ownership interest in the client, then KELER may instruct its Client to present further documents in order to certify the contents of the declaration.

	Beneficial owner
a)	any natural person who owns or controls at least twenty-five per cent of the shares or voting rights in a legal person or an unincorporated organization directly or - <i>by way of the means defined in Section 8:2 (4) of the Act on the Civil Code</i> (hereinafter referred to as " Civil Code ") - indirectly, or who is able to exercise effective control over the legal person or unincorporated organization via other means, if that legal person or unincorporated organization is not listed on a regulated market and is subject to disclosure requirements consistent with Community legislation or subject to equivalent international standards,
b)	any natural person who has dominant influence in a legal person or unincorporated business association as defined in Section 8:2(2) of the Civil Code ,
c)	any natural person on whose behalf a transaction is being conducted, or who is able to exercise effective control over the activity of a customer via other means in the case of natural persons,
d)	in the case of foundations the natural person who
da)	is the beneficiary of at least twenty five per cent of the assets of the foundation provided that the future beneficiaries have already been defined,
db)	in whose interest the foundation has been created or is operated if the beneficiaries have not yet been defined, or
dc)	who is a member of the managing body of the foundation, or has dominant influence over at least twenty five per cent of the assets of the foundation, or proceeds on behalf of the foundation.
dd)	in the absence of the natural person referred to in Subparagraphs da)-dc), the person proceeding on behalf of the foundation,
e)	in the case of fiduciary asset management contracts:
ea)	the principal, and the beneficial owner referred to in Paragraph a) or b) thereof,
eb)	the fiduciary, and the beneficial owner referred to in Paragraph a) or b) thereof,
ec)	the beneficiaries or class of beneficiaries, and the beneficial owner referred to in Paragraph a) or b) thereof, furthermore
ed)	the natural person exercising effective control over the trust fund via other means
ee)	where appropriate, the person(s) controlling the asset management; in the case of non-natural person controlling the asset management, the beneficial owner thereof as referred to in Paragraph a) or b), and
f)	in the absence of the natural person referred to in Paragraphs a) and b), the executive officer of the legal person or unincorporated business association.

2. Politically Exposed Person

If the beneficial owners or any of them is/are a politically exposed person(s) or a close relative(s) or close associate(s) of a politically exposed person, use the definitions of the table below to define the nature of the politically exposed person status that applies to the beneficial owner. Pursuant to the law, a politically exposed person is a person who performs any of the prominent public functions defined below or issued a declaration in that regard within the previous one year.

Please note that if the beneficial owner is a politically exposed person, the client is required to make a statement confirming the source of funds and source of wealth. Please contact our Client Service for the required form.

	Politically Exposed Person
a)	heads of State, heads of government, ministers and deputy ministers, state secretaries, in Hungary the head of State, the Prime Minister, ministers and state secretaries;
b)	members of parliament or of similar legislative bodies, in Hungary members of parliament and spokesmen for the nationality;
c)	members of the governing bodies of political parties, in Hungary members and officers of the governing bodies of political parties;
d)	members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions of which are not subject to further appeal, in Hungary members of the Constitutional Court, of the courts of appeal and the Curia;
e)	members of courts of auditors or of the boards of central banks, in Hungary the President and Vice-President of the State Audit Office, members of the Monetary Council and the Financial Stability Board;
f)	ambassadors, chargés d'affaires and high-ranking officers in the armed forces, in Hungary the head of the central body of law enforcement bodies and organizations and his deputy, Chief of Staff of the Hungarian Army and Deputy Chiefs of Staff of the Hungarian Army;
g)	members of the administrative, management or supervisory bodies of enterprises with majority state ownership, in Hungary the managing directors of enterprises with majority state ownership, including members of the management body exercising control or supervisory rights of such enterprises;
h)	directors, deputy directors and members of the board or equivalent function of an international organization.

Close relative of a politically exposed person: the spouse or domestic partner of a politically exposed person; the biological and adopted children, stepchildren and foster children and their spouses or domestic partners, of a politically exposed person; the biological, adoptive, step- and foster parents of a politically exposed person.

Close associate of a politically exposed person:

- a) any natural person who is known to have joint beneficial ownership of legal entities or unincorporated organizations, or any other close business relations, with a politically exposed person;
- b) any natural person who has sole beneficial ownership of a legal entity or unincorporated organization which is known to have been set up for the benefit of a politically exposed person.

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