

**KELER Depository Announcement -
No. 9-08**
on LEI services provided to organisations not
registered in Hungary

Effective from: 1 February 2021

The LEI code is a 20-character unique alphanumeric international identification code for the identification of legal entities, that primarily serves the purposes of the identification of parties participating in financial transactions. Only one LEI code can be assigned to a legal entity and every issued LEI code pertains to one legal entity only.

The international standard for LEI code is registered by the International Organization for Standardization under no. ISO 17442.

The LEI code shall be valid for one year from its issue and shall be renewed annually. After the expiry of the validity period the code will have an expired status. In the case of renewal, the validity is extended with another year.

The Global Legal Entity Identifier Foundation is a foundation operating under Swiss laws that determines the principles of operation of the international system issuing and registering the LEI codes (Global LEI System - GLEIS) and operate the same together with the LEI Service Providers.

In 2014, KELER Central Depository Ltd (hereinafter: KELER) entered into a cooperation agreement with Herausbergemeinschaft WERTPAPIER-MITTEILUNGEN Keppler, Lehmann GmbH & Co. KG (hereinafter: WM), an accredited LEI numbering agency based in Germany, in order to provide its clients with services concerning the application for and the renewal of LEI codes as an intermediary. Due to the growing use of the identification code, KELER, as an accredited LEI numbering agency, decided to provide full service for legal entities registered in Hungary. KELER is licensed to issue LEI codes for legal entities registered in Hungary, however, at the same time, under the agreement concluded with WM, it will continue to participate, as an intermediary, in the LEI code application process of foreign legal entities.

This Depository Announcement applies to the management of LEI codes of legal entities not registered in Hungary.

For the application for LEI codes of legal entities NOT registered in Hungary, KELER concludes a contract on paper with the Client representative. In relation thereto, the Client representative shall submit the following documents:

- extract from trade register not more than 30 days old or the order registering the last change (copy)
- document attesting the power of representation of the acting person (Power of attorney) if it is not included in the extract from trade register (copy)
- the specimen signature(s) of the authorised signatory/signatories to the contract in original form, or in an electronic document containing the qualified eSignature of the authority or notary public authorised to issue and certify the document, or in a certified copy certified by a notary public or authenticated by the Hungarian delegation authority, or, unless otherwise stipulated in an international agreement, in a certified copy affixed with an Apostille certificate, or in the case of electronic documents, sent to the iktato@keler.hu email address in an electronic file in es3 format, signed by the attorney preparing the specimen signature
- one signed original master data sheet

In the case of documents issued in a language other than Hungarian and/or English, the certified translation - issued by a person entitled to prepare certified translations at the place where the translation is made - of the document must be attached.

Our clients may apply for a new LEI code

- individually and
- collectively.

For the submission of an individual application, the form available on KELER's website (www.keler.hu) shall be used, which shall be filled in according to the information contained therein.

For the submission of a collective application, the formal requirements of the necessary XLSM file will be detailed herein below.

If the application does not comply with the form or content requirements, or the payment of the service fee in advance via bank transfer is not verified, KELER notifies the Representing Client thereof and requests the correction of the error, or the payment of the fee. The corrected application will be forwarded by KELER to the LEI numbering agency, i.e., WM.

KELER informs the Client about the issue of the LEI code in an email without delay but no later than within one business day.

KELER participates in the maintenance (renewal) of the LEI code in a way that it updates annually at the LEI numbering agency the identification data constituting the basis for the issue, using the data provided by the client. If the update is not performed, the issued LEI code will become invalid.

XLSM template for the submission of collective applications

The collective registration can be processed only if all mandatory fields in the CSV, XLSM file are filled in correctly. Please use the provided template only and follow the completion instructions.

The following pages contain the short description of each field as well as information concerning their completion.

Please collect your applications in one file, do not divide them into several files. The first line of the file contains the name of the fields. Please leave this line unchanged and enter the data starting with the second line. Do not leave blank lines between the lines containing the data of each entity.

When naming the file please use the following format:

LEI_UNIQUE IDENTIFIER_DATE OF SUBMISSION

UNIQUE IDENTIFIER: 6 numeric characters (given by KELER in the contract)

DATE OF SUBMISSION: in YYYYMMDD format

File type: MS Excel 2007 and above

File extension: xlsx

Overview of fields, page 1 of 2

	FIELD NAME	CONTENT
A	BULK_NAME	THE ORGANISATION'S FULL NAME ACCORDING TO THE REGISTER
B	BULK_REGION_JURISDICTION	COUNTRY CODE OF THE ORGANISATION'S COMPETENT REGISTRATION AUTHORITY
C	BULK_OU_TYPE	THE ORGANISATION'S LEGAL FORM (SEE KEY FILE → LEGAL FORM)
D	BULK_LE_STREET	ADDRESS (STREET AND NUMBER) OF THE ORGANISATION'S REGISTERED OFFICE
E	BULK_LE_POSTAL	POSTAL CODE OF THE ORGANISATION'S REGISTERED OFFICE
F	BULK_LE_CITY	CITY OF THE ORGANISATION'S REGISTERED OFFICE
G	BULK_LE_STATE_REGION	REGION OF THE ORGANISATION'S REGISTERED OFFICE (SEE KEY FILE → SUBDIVISION)
H	BULK_LE_COUNTRY	COUNTRY OF THE ORGANISATION'S REGISTERED OFFICE (SEE KEY FILE → COUNTRY)
I	BULK_HQ_NAME	N/A
J	BULK_HQ_STREET	ADDRESS (STREET AND NUMBER) OF THE ORGANISATION'S HEADQUARTERS
K	BULK_HQ_POSTAL	POSTAL CODE OF THE ORGANISATION'S HEADQUARTERS
L	BULK_HQ_CITY	CITY OF THE ORGANISATION'S HEADQUARTERS

Overview of fields, page 2 of 2

	FIELD NAME	CONTENT
M	BULK_HQ_STATE_REGION	REGION OF THE ORGANISATION'S HEADQUARTERS (SEE KEY FILE → SUBDIVISION)
N	BULK_HQ_COUNTRY	COUNTRY OF THE ORGANISATION'S HEADQUARTERS (SEE KEY FILE → COUNTRY)
O	BULK_JURIS	INDICATION OF THE ORGANISATION'S RELEVANT TRADE REGISTER
P	BULK_REGISTER_NR	ORGANISATION'S REGISTRATION NUMBER
Q	BULK_FUND_STRUCTURE	N/A
R	BULK_FUND_TYPE	N/A
S	BULK_FUND_ISIN	N/A
T	BULK_FUND_KAG	N/A
U	BULK_AD_INFO	THE ORGANISATION'S INDUSTRY CODE (SEE KEY FILE → INDUSTRIES)
V	BULK_FUND_REG_NR	N/A
W	BULK_FUND_REGISTER	N/A
X	BULK_PUBLIC_CLASS	N/A
Y	BULK_REGISTRATION_AUTHORITY_ID	ID OF THE ORGANISATION'S COMPETENT REGISTRATION AUTHORITY (SEE KEY FILE → ID OF REGISTRATION AUTHORITY)
Z	BULK_KVG_REGISTRATION_AUTHORITY_ID	N/A

Guide for completing the fields

Column A (BULK_name) - mandatory field

Full name of the organisation as registered in the relevant register (the official name and abbreviation must be entered). If the legal form is also indicated as part of the name, it must be entered as well.

Column B (BULK_region_jurisdiction) - mandatory field

Country code of the place of registry of the competent registration authority.

Column C (BULK_OU_type) - mandatory field

The legal form of the applying organisation must be indicated in this field (key file → legal form).

Columns D-H (BULK_LE_****) - mandatory fields

The address of the organisation's registered office must be indicated in these columns.

Column D (BULK_LE_street): street and number

Column E (BULK_LE_postal): postal code

Column F (BULK_LE_city): city

Column G (BULK_LE_state_region): counties, main cities (key file → region)

Column H (BULK_LE_country): country (key file → country)

Column I (BULK_HQ_name) - mandatory field

N/A

Columns J-N (BULK_HQ_****) - mandatory fields

The address of the organisation's headquarters must be indicated in these columns.

These fields must be filled in even if the organisation's headquarters and registered office have the same address.

Column J (BULK_HQ_street): street and number

Column K (BULK_HQ_postal): postal code

Column L (BULK_HQ_city): city

Column M (BULK_HQ_state_region): counties, main cities (key file → region)

Column N (BULK_HQ_country): country (key file → country)

Column O (BULK_juris) - mandatory field

The name of the competent registration authority of the organisation must be indicated in this field.

Column P (BULK_register_nr) - mandatory field

The registration number provided by the competent registration authority specified in Column O must be indicated in this field.

Column Q (BULK_fund_structure)*N/A***Column R (BULK_fund_type) - mandatory field***N/A***Column S (BULK_fund_isin)***N/A***Column T (BULK_fund_KAG)***N/A***Column U (BULK_AD_info) - mandatory field**

The organisation's valid industry code must be entered here (key file → Departments).

Column V (BULK_fund_reg_nr)*N/A***Column W (BULK_fund_register)***N/A***Column X (BULK_public_class)***N/A***Column Y (BULK_registration_authority_id) - mandatory field**

The ID of the organisation's competent trade registration authority must be indicated in this field (key file → ID of registration authority).

Column Z (BULK_kvg_registration_authority_id) - mandatory field*N/A*